

EXHIBIT A
DEFICIENCY
LETTERS



In re Mattel, Inc. Securities Litigation
c/o JND Legal Administration
P.O. Box 91434
Seattle, WA 98111

Email: info@MattelSecuritiesLitigation.com
Toll-Free Number: 877-379-5987
Settlement Website: www.MattelSecuritiesLitigation.com

Mailing Date:

Response Due Date:
Claim ID:

Eligible Security: Mattel common stock

Class Period: August 2, 2017, to August 8,
2019, inclusive

NOTICE OF DEFICIENT/INELIGIBLE CLAIM SUBMISSION

Dear Claimant:

We received and processed the Proof of Claim and Release Form ("Claim" or "Claim Form") you submitted in the above-referenced securities litigation Settlement. We have determined, based on our review of your Claim, that the Claim is deficient or ineligible for the reason(s) identified below. In order to resolve the deficiencies, you must submit a written response with any required documentation, as specified below, postmarked no later than the response due date printed at the top of this notice. Please include a copy of this notice with your response. **If you fail to respond by the response due date set forth above, or if your response fails to cure the condition(s) identified below, this Claim will be rejected to the extent that those conditions remain uncured. This is the only notice you will receive with respect to this Claim.**

Reason for deficiency/ineligibility (Please see below for explanation):

THE DOCUMENTATION PROVIDED IS INADEQUATE



Explanation of Ineligibility:

THE DOCUMENTATION PROVIDED IS INADEQUATE

Description

The documentation provided for your entire Claim does not satisfy the required document standards.

How to Fix

You can resolve this deficiency by submitting acceptable documentation to support the Claim. All holding positions and transactions set forth in the Claim Form must be properly documented.

Specifically, the supporting documentation for each transaction must reflect the purchase (acquisition)/sale date, the number of shares purchased (acquired)/sold, and the price paid/received per share. With respect to the required "holding" positions, the documentation need only support the number of shares held as of the dates set forth in the Claim Form.

Acceptable documentation includes securities brokers' confirmation slips, month-and year-end account statements, or similar documentation (self-generated documents are not acceptable).

Please note, even if you cure the noted deficiencies, your Claim must then calculate to a Recognized Claim under the Plan of Allocation in order to be included in the list of eligible Claims presented to the Court for approval. If you disagree with the conditions identified in this notice, you may contact us for assistance and/or request Court review of our administrative determination regarding your Claim.

To request Court review, you must send a letter to the Claims Administrator at the address indicated above no later than the response deadline set forth above. Your letter, which must be signed, must: (1) specifically state that you request that the Court review the full or partial rejection of your Claim; (2) state your argument(s) for why you are contesting the full or partial rejection of the Claim; and (3) include any and all documentation supporting your argument(s). A copy of this letter must be included with your request for Court review. If your disputed Claim is presented to the Court, your Claim Form and supporting documentation will be partially redacted to protect your privacy. PLEASE NOTE: COURT REVIEW SHOULD ONLY BE SOUGHT IF YOU DISAGREE WITH THE CLAIMS ADMINISTRATOR'S DETERMINATION REGARDING YOUR CLAIM.

If you have any questions about this notice or any of the noted deficiencies, or if you want to confirm the status of your Claim after you submit a response to this notice, please contact us at the telephone number or email address noted above.

Sincerely,
JND Legal Administration



In re Mattel, Inc. Securities Litigation

c/o JND Legal Administration
P.O. Box 91434
Seattle, WA 98111

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NOTICE OF DEFICIENT/INELIGIBLE CLAIM SUBMISSION

Dear Claimant:

We received and processed the Proof of Claim and Release Form ("Claim" or "Claim Form") you submitted in the above-referenced securities litigation Settlement. We have determined, based on our review of your Claim, that the Claim is deficient or ineligible for the reason(s) identified below. In order to resolve the deficiencies, you must submit a written response with any required documentation, as specified below, postmarked no later than the response due date printed at the top of this notice. Please include a copy of this notice with your response. **If you fail to respond by the response due date set forth above, or if your response fails to cure the condition(s) identified below, this Claim will be rejected to the extent that those conditions remain uncured. This is the only notice you will receive with respect to this Claim.**

Reason for deficiency/ineligibility (Please see below for explanation):

NO PURCHASE WAS CLAIMED IN THE CLASS PERIOD



Explanation of Ineligibility:

NO PURCHASE WAS CLAIMED IN THE CLASS PERIOD

Description

This Claim does not contain any purchases of Mattel common stock during the Class Period, i.e., from August 2, 2017, through August 8, 2019, inclusive. (See Notice, Page 7, Paragraph 31).

How to Fix

You can only resolve this deficiency by submitting documentation supporting purchases or acquisitions of Mattel common stock during the Class Period.

Please Note: If you have purchase transactions of Mattel common stock during this period, you must also provide this and all other information and supporting documentation called for in the Proof of Claim and Release Form with respect to your holdings of and transactions in Mattel common stock.

Please note, even if you cure the noted deficiencies, your Claim must then calculate to a Recognized Claim under the Plan of Allocation in order to be included in the list of eligible Claims presented to the Court for approval. If you disagree with the conditions identified in this notice, you may contact us for assistance and/or request Court review of our administrative determination regarding your Claim.

To request Court review, you must send a letter to the Claims Administrator at the address indicated above no later than the response deadline set forth above. Your letter, which must be signed, must: (1) specifically state that you request that the Court review the full or partial rejection of your Claim; (2) state your argument(s) for why you are contesting the full or partial rejection of the Claim; and (3) include any and all documentation supporting your argument(s). A copy of this letter must be included with your request for Court review. If your disputed Claim is presented to the Court, your Claim Form and supporting documentation will be partially redacted to protect your privacy. PLEASE NOTE: COURT REVIEW SHOULD ONLY BE SOUGHT IF YOU DISAGREE WITH THE CLAIMS ADMINISTRATOR'S DETERMINATION REGARDING YOUR CLAIM.

If you have any questions about this notice or any of the noted deficiencies, or if you want to confirm the status of your Claim after you submit a response to this notice, please contact us at the telephone number or email address noted above.

Sincerely,

JND Legal Administration

EXHIBIT B
DEFICIENCY
EMAIL

Dear Electronic Claim Filer:

Your Claim submission for the Mattel Securities Litigation Settlement has been reviewed by the Claims Administrator and one or more Claims contained in your submission were found to be deficient/ineligible. A list of each Claim, transaction(s), and our determination(s) is found on the attachment. A deficiency/ineligibility key has also been enclosed to further describe each Claim/transaction status and provide insight on ways to cure your Claim(s), if possible.

You have 20 calendar days from the date of this email to provide your deficiency response. If no response is provided, your Claim(s) will be presented to the Court in the current status.

If you disagree with the condition(s) identified in this notice, you may contact us for assistance and/or request Court review of our administrative determination(s) regarding your Claim(s). To request Court review, you must send an email to the Claims Administrator. Your email must: (1) specifically state that you request that the Court review the full or partial rejection of your Claim; (2) state your argument(s) for why you are contesting the full or partial rejection of the Claim; and (3) include any and all documentation supporting your argument(s). If your disputed Claim is presented to the Court, your Claim Form and supporting documentation will be partially redacted to protect your privacy.

PLEASE NOTE: COURT REVIEW SHOULD ONLY BE SOUGHT IF YOU DISAGREE WITH THE CLAIMS ADMINISTRATOR'S DETERMINATION REGARDING YOUR CLAIM.

Please submit all documentation to cure your deficient and/or ineligible Claim(s) via email to MATSecurities@jndla.com.

Please reply to this email if you have any questions regarding your deficiency or Claim submission.

The attached spreadsheet is password protected. A follow-up email with the password will be sent shortly.

Regards,

Claims Administrator
Mattel Securities Litigation
877-379-5987
www.mattelsecuritieslitigation.com

EXHIBIT C DEFICIENCY SPREADSHEET

JND ID	Claim ID	Account	Account Name	Deficiency
XX	XXX	X	XXXXX	XX
XXX	XX	XX	XX	XX
XX	XXXXX	XXXXX	XXXXX	X

<u>Claim Level Deficiency</u>			
Deficiency ID	Deficiency Name	Deficiency Description	How to Fix
xx	CLAIM DOES NOT BALANCE	The Claim as submitted is not a balanced Claim. The beginning holdings plus purchases of Mattel common stock do not equal sales plus the number of shares held at close of trading on November 6, 2019. The total number of shares of Mattel common stock entered in sections 1, 2, and 3 must equal the total number of shares entered in sections 4 and 5 of the Schedule of Transactions (Part III of the Proof of Claim and Release Form).	You can resolve this deficiency by supplying all the called-for share amounts in the Schedule of Transactions together with the required supporting documentation.
xx	CLAIM SUBMITTED WAS FOR AN INELIGIBLE SECURITY	A security listed in your Claim is not an eligible security. Mattel common stock is the only security eligible under the Settlement.	<p>You can only resolve this deficiency by submitting revised data supporting purchases or acquisitions of Mattel common stock during the Class Period from August 2, 2017, through August 8, 2019, inclusive.</p> <p>Please Note: If you have purchase transactions of Mattel common stock during this period, you must also provide this and all other information called for in the Proof of Claim and Release Form with respect to your holdings of and transactions in Mattel common stock.</p>

xx	NO PURCHASES WERE CLAIMED IN THE CLASS PERIOD	This Claim does not contain any purchases of Mattel common stock during the Class Period, i.e., from August 2, 2017, through August 8, 2019, inclusive. (See Notice, Page 7, Paragraph 31).	You can only resolve this deficiency by submitting documentation supporting purchases or acquisitions of Mattel common stock during the Class Period. Please Note: If you have purchase transactions of Mattel common stock during this period, you must also provide this and all other information and supporting documentation called for in the Proof of Claim and Release Form with respect to your holdings of and transactions in Mattel common stock.
xx	DUPLICATE CLAIM FILED	The Claim referenced is duplicative of another claim filed directly by a beneficial owner or another institution that has submitted an authorization with a recent date.	You can only resolve this condition of ineligibility if you can demonstrate that this Claim is not a duplicate of the Primary Claim. You must send an email explaining why you believe this Claim should not be deemed a duplicate along with acceptable documentation to support your position. If you acknowledge that this Claim is a duplicate of the Primary Claim but wish this Claim to be the operative Claim and the Primary Claim to be rejected, a signed, notarized letter from the beneficial owner of the securities stating that this Claim should be considered and that the Primary Claim should be withdrawn must be submitted along with a copy of this notice.

xx	CLAIM SUBMITTED BY OR ON BEHALF OF AN EXCLUDED PERSON OR ENTITY	The person or entity on whose behalf this Claim was filed is an excluded person or entity under the terms of this Settlement.	<p>Excluded from the Class are Defendants; Mattel’s and PricewaterhouseCoopers’ affiliates and subsidiaries; the officers and directors of Mattel and PricewaterhouseCoopers and their subsidiaries and affiliates at all relevant times; members of the immediate family of any excluded person; heirs, successors, and assigns of any excluded person or entity; and any entity in which any excluded person has or had a controlling interest.</p> <p>Also excluded from the Class are any persons and entities that excluded themselves by submitting a request for exclusion.</p> <p>If you believe that the person or entity on whose behalf this Claim was filed was incorrectly identified as an Excluded Person, please contact or call the Claims Administrator.</p>
xx	CLAIM DOES NOT CALCULATE TO A RECOGNIZED LOSS UNDER THE PLAN OF ALLOCATION	In accordance with the Court-Approved Plan of Allocation set forth in the Notice, the Claim referenced above does not calculate to a Mattel Recognized Claim or PwC Recognized Claim and is, therefore, ineligible to receive a payment from the Net Settlement Fund.	<p>You can resolve this condition of ineligibility only by submitting additional purchase transactions of Mattel common stock during the Class Period, i.e., from August 2, 2017 through August 8, 2019, inclusive, that were not previously reflected in your Claim and that make your Claim calculate to a Mattel Recognized Claim or PwC Recognized Claim. You must also support any additional transactions with acceptable documentation.</p> <p>Acceptable documentation includes securities brokers’ confirmation slips, month- and year-end account statements, or similar documentation (self-generated documents are not acceptable).</p>
xx	MISSING TRANSACTION INFORMATION	The information as entered on your submission did not include all details for the transaction(s).	You can resolve this deficiency by supplying all the called-for transactional information in a revised data file.

Transaction Level Deficiency

Deficiency ID	Deficiency Name	Deficiency Description	How to Fix
xx	TRANSACTION IS TRANSFER IN	The Claim referenced contains one or more transactions that were presented or identified as a gift or transfer into your account (also called a “free receipt”) during the Class Period. The data submitted, however, does not reflect when each share was purchased.	In order for the Claim to be properly calculated, you must submit revised data that provides information regarding the original purchase/acquisition of each share in the noted transaction(s) before it was transferred into the account. Specifically, you must submit, with respect to each transaction, revised data that reflects (i) the date on which each share in each transaction was purchased/acquired and (ii) the price paid per share, if the shares were purchased on or after August 2, 2017.
xx	PRICE PER SHARE OUT OF RANGE	The price per share or the price multiplied by quantity is outside of the normal range for that day. Please check dates and data.	Please review your data to confirm the price of the transaction. If the data is correct, provide adequate supporting documentation substantiating the price and/or net amount
xx	NET OUT OF RANGE	The price multiplied by quantity is outside of the normal range. Please check dates and data.	Please review your data to confirm the net amount of the transaction. If the data is correct, provide adequate supporting documentation substantiating the net amount.
xx	INELIGIBLE SECURITY CLAIMED FOR TRANSACTION	The security claimed for the transaction(s) set forth is not an eligible security for this Settlement.	The only security eligible in this Settlement is Mattel common stock. If you did not purchase Mattel common stock during the Class Period, you are not eligible to participate in the Settlement.

xx	TRANSACTION IS OUTSIDE CLASS PERIOD	The purchase transaction(s) set forth did not occur during the Class Period. Unless the date(s) originally submitted is (are) incorrect, then the transaction(s) will not be included in your Claim.	
xx	TRANSACTION IS TRANSFER OUT	The Claim referenced contains one or more transactions that were presented or identified as a transfer out of your account (also called a “free delivery”) during the Class Period. The data submitted, however, does not reflect the date and means of final disposition of each share.	In order to include the below-noted shares in the calculation of the Claim, you must submit acceptable supporting documentation demonstrating that the final sale of those shares subsequent to the transfer out of the account occurred during the period from August 2, 2017, through November 6, 2019, inclusive, or the shares were still held as of close of trading on November 6, 2019. Specifically, if the shares identified below as a “Transfer Out” were ultimately sold during the period from August 2, 2017, through November 6, 2019, inclusive, you must submit, with respect to each transaction, acceptable supporting documentation that reflects the date of the final sale of the shares and the price received per share.
xx	MISSING TRANSACTION INFORMATION	The information as entered on your submission did not include all details for the transaction(s).	You can resolve this deficiency by supplying all the called-for transactional information in a revised data file.